

Hand the Man was had

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*LIMITED TO MATTERS AND PROCEEDINGS BEFORE FEDERAL COURTS & AGENCIES **REGISTERED PATENT AGENT ***SENIOR COUNSEL

January 15, 2002

WRITER'S DIRECT NUMBER: (202) 371-2544 **INTERNET ADDRESS:** MCIMBALA@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Box Patent Application

Re: U.S. Divisional Patent Application

(Divisional of Appl. No. 09/677,575; Filed: October 3, 2000)

(Appl. No. To be assigned); Filed: January 15, 2002

Pyruvate Carboxylase from Corynebacterium glutamicum

SINSKEY et al. Inventors:

Our Ref: 1533.0790002/MAC/AGU

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- PTO Fee Transmittal (Form PTO/SB/17);
- 2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
- 3. U.S. Utility Patent Application entitled:

Pyruvate Carboxylase from Corynebacterium glutamicum

Commissioner for Patents January 15, 2002 Page 2

and naming as inventors:

Anthony J. Sinskey, Philip A. Lessard, and Laura B. Willis

the application consisting of:

- a. A specification containing:
 - i. 34 pages of description prior to the claims;
 - ii. <u>3</u> pages of claims (17 claims);
 - iii. a one (1) page abstract;
- b. <u>3</u> sheet of drawings: (Figure 1A, 1B and 1C); and
- c. <u>19</u> pages of a paper copy of a sequence listing;
- d. A copy of the executed Declaration, as originally filed in U.S. Appl. No. 09/220,081 (2 pages);
- 4. Application Data Sheet;
- Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3)(in duplicate);
- 6. Preliminary Amendment and Submission of Sequence Listing;
- 7. A computer readable copy of the Sequence Listing;
- 8. Our check No. 33829 for \$740.00 to cover: \$740.00 Filing fee for patent application; and
- 9. Two (2) return postcards.

Commissioner for Patents January 15, 2002 Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michele A. Cimbala

Attorney for Applicants

Trished A. Carolin

Registration No. 33,851

MAC/AGU/jk Enclosures

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

spond to a collection of information Attorney Docket No. First Inventor		1533.0790002/MAC/AGU				
		Anthony J. Sinskey				
Title	Pyruvate Car	boxylase from Corynebacterium glutamicum				
Express	Mail Label No.					

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			ASSISTANT Commissioner for Patents Box Patent Application Washington, DC 20231									
 1. ☒ 2. ☐ 	(Submit an original, and a duplicate for fee processing)				 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 							
3. ×	Specification [Total Pages 38] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (tf filed) - Detailed Description - Claim(s) - Abstract of the Disclosure Drawing(s) (35 U.S.C. 113) [Total Sheets 3]				. Spe	i. ii. Stateme ACC Assignn 37 CFR	CD- paperts vo	erifying identity	2 copie of abov PPLIC et & do	e copies		
*.i	h or Declaration	[Total Pages 2]		11. English Translation Document (if applicable)								
		ted (original or copy)		12.		Informa Stateme	tion I nt (II	Disclosure DS)/PTO-1449		Copies of IDS Citations		
	b. Copy from a prior application (37 CFR 1.63(d))				\boxtimes	Prelimin	ary A	Amendment				
	i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).				14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
Wall Control					15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
6. Application Data Sheet. See 37 CFR 1.76.				16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.								
					\boxtimes	Other:	F.R. § 1.136(a)(3)					
					\boxtimes	Other:	The	e name of the ass	ignee is	s: Archer-Daniels-Midland		
						Company.						
or in an	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-Part (CIP) of prior application No: 09/677,575 Prior application information: Examiner Monshipouri, M. Group/Art Unit: 1652 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
			19. CORRESPO	NDE	NCE	ADDRI	ESS					
×	Customer Number or Bo Customer No. 26	,	ustomer 2061A10			w.w.		or Corresp	ondence	e address below		
NAME	2	STERNE, KESSLER, GOLDSTEIN		*****								
Attorneys at Law ADDRESS 1100 New York Avenue, N.W., Suite 600												
CITY	ESS	Washington	STATE	DC	DC ZIP CODE				E 2	20005-3934		
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		lichele A. Cimbala	• 4		Re	gistration	No. ((Attorney/Agent)	33,85			
SIGNA	ATURE	miles A. a	inter					0.00000000	Date	January 15,2002		

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)740.00

Complete if Known					
Application Number	To be assigned				
Filing Date	Herewith (January 14, 2002)				
First Named Inventor	Anthony J. Sinskey				
Examiner Name	To be assigned				
Group Art Unit	To be assigned				
Attorney Docket No.	1533.0790002/MAC/AGU				

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charg indicated fees and credit any overpayment to:	e 3. AI Lar	DITIONAL ge Entity		Entity				
Deposit Account Number 19-0036	Fee Cod		Fee Code	Fee (\$)	Fee I	Description	Fee paid	
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.		05 130	205	65	Surcharge - late filing	fee or oath		
	1	127 50	227	25	Surcharge - late provision	al filing fee or cover shee	et	
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		139 130	139	130	Non-English specif	fication		
Applicant claims small entity status See 37 CFR 1.27	1	2,520	147		For filing a request		nination	
Applicant claims small entity status See 37 CFR 1.27	1	12 920*	112	920*	Requesting publication action	of SIR prior to Examir	ner	
2 Payment Enclosed	1	13 1,840*	113	1,840*	Requesting publication	of SIR after Examiner	action	
Check Credit card Money Order Oth Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No 19-0036.	er* 1	15 110	215	55	Extension for reply	within first month		
FEE CALCULATION	1	16 400	216	200	Extension for reply	within second mont	h	
1. BASIC FILING FEE	1	17 920	217	460	Extension for reply	within third month		
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1	18 1,440	218	720	Extension for reply v	within fourth month		
Code (\$) Code (\$)	1	28 1,960	228	980	Extension for reply v	within fifth month		
101 740 201 370 Utility filing fee <u>740 00</u>	1	19 320	219	160	Notice of Appeal			
106 330 206 165 Design filing fee	1	20 320	220	160	Filing a brief in supp			
107 510 207 255 Plant filing fee	1:	21 280	221	140	Request for oral hea			
108 740 208 370 Reissue filing fee	1:	38 1,510	138	1,510	Petition to institute a	public use procee	ding	
114 100 214 00 Provisional ming lee	1	40 110	240	55	Petition to revive - u	navoidable		
SUBTOTAL (1) (\$) 740 00		41 1,280	241	640	Petition to revive - u			
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		44 620	243 244	230	Design issue fee			
		22 130	122		Plant issue fee Petitions to the Com	uminaiam a u		
2. EXTRA CLAIM FEES Fee from								
Extra below Fee	Paid	23 130	123		Petitions related to p			
Total Claims <u>17</u> - 20** = <u>0</u> X = <u>0.00</u>		26 180	126	180	Submission of Inform	nation Disclosure S	Stmt	
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Multiple Dependent = 0.00	1	16 740	246	370	Filing a submission a (37 CFR 1.129(a))	•		
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	14	19 740	249	370	For each additional i (37 CFR 1.129(b))	nvention to be exa	mined	
103 18 203 9 Claims in excess of 20	17	79 740	279	370	Request for Continue	ed Examination (Ro	CE)	
102 84 202 42 Independent claims in excess of 3	16	900	169	900	Request for expedite	ed examination of a		
104 280 204 140 Multiple dependent claim 108 84 209 42 **Reissue independent claims over or	Other fo	ee (specify)			design application			
108 84 209 42 **Reissue independent claims over or patent 110 18 210 9 **Reissue claims in excess of 20 and original patent	over	ee (specify)						
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** or number previously paid, if greater; For Reissues, see above	neuuc	ed by Basic F	mig ree	raid	SUBTOTAL (3) (\$) 0.00			
SUBMITTED BY						Complete (if app	olicable)	
Name (Print/Type) Michele A. Cimbala		Rec (Atto	gistration mey/Agent)	No.	33,851	Telephone	202-371-2600	
Signature Iniulus A. Ciner	lala					Date	Jan 14, 2002	